## Slide 1: Using RE-AIM to Address Health Impact Evaluation Issues

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#### Slide 2: Outline of Talk

- Background and Definitions
- Comprehensive use of RE-AIM framework
- Adaptation of RE-AIM for rating evidence-based interventions
- Creation of new RE-AIM tool for practitioners

#### Slide 3: Definitions

Internal Validity – identifies causal relationships ... in this study, the intervention made a difference in the outcome.

External Validity – findings are true beyond the controlled limits of the study. "To what populations, settings, treatment variables and measurement variables can this effect be generalized?" (Campbell & Stanley, 1963)

Campbell DT, Stanley JC. Experimental and quasi-experimental designs for Research. Chicago, IL: Rand McNally. 1966.

### Slide 4: Internal vs. External Validity

What are the trade-offs of in maximizing internal or external validity?

#### Slide 5: Gold Standard≠ Translation

"Where did the field get the idea that evidence of an intervention's efficacy from carefully controlled trials could be generalized as THE best practice for widely varied populations and settings?" L.W. Green

Green LW. From research to "best practices" in other settings and populations *Am J Health Behav 2001; 25:165-78* 

### Slide 6: External Validity

A framework for closing the gap between research and practice/policy

## **Slide 7: Purposes of RE-AIM**

- To broaden the criteria used to evaluate programs to include elements of external validity
- To evaluate issues relevant to program adoption, implementation, and sustainability
- To help close the gap between research studies and practice by:
  - Suggesting standard reporting criteria
  - Informing design of interventions

• Providing guides for program planners and potential adopters <u>www.re-aim.org</u>:

#### **Slide 8: Goal of RE-AIM Evaluation**

Determine characteristics of interventions that can:

- Reach large numbers of people, especially those who can most benefit
- Be widely <u>adopted</u> by different settings
- Be consistently <u>implemented</u> by staff members with moderate levels of training and expertise
- Produce <u>replicable</u> and <u>long-lasting</u> effects (and minimal negative impacts) at reasonable cost

Glasgow, Vogt, Boles, Am J Public Health, 89, 1999

Glasgow RE, Linnan L. Evaluation of theory-based interventions.

In: Health Education: Theory, Research, and Practice, 4th Ed., 2007.

Slide 9: Example of Applying RE-AIM Ultimate Impact of 'The Magic Pill'

Dissemination	Concept	% Impacted
50% of Federally Qualified	Adoption	50%
Health Centers Use		
50% of Clinicians Prescribe	Adoption	25%
50% of Patients Accept	Reach	12.5%
Medication		
50% Follow Regimen	Implementation	6.2 %
Correctly		
50% of Those Taking	Effectiveness	3.1%
Correctly Benefit		

#### Slide 10: The Moral of the Story?

- 1. "Focus on the Denominator" (not just the numerator)
- 2. Each step of the dissemination sequence, or each "RE-AIM" dimension is important

Slide 11: RE-AIM Guidelines for Developing, Selecting, and Evaluating Programs and Policies Intended to Have a Public Health Impact

RE-AIM ELEMENT	GUIDELINES AND QUESTIONS
	TO ASK
REACH	Can the program attract large and representative percent of
Percent and	target population?
representativeness of	Can the program reach those most in need and most often
participants	left out (i.e., the poor, low literacy and numeracy, complex
	patients)?
EFFECTIVENESS	Does the program produce robust effects across sub-
Impact on key outcomes,	populations?
quality of life, unanticipated	Does the program produce minimal negative side
outcomes and subgroups	effects and increase quality of life or broader outcomes (i.e.,
	social capital)?

Slide 12: RE-AIM Guidelines for Developing, Selecting, and Evaluating Programs and Policies Intended to Have a Public Health Impact (Cont)

RE-AIM ELEMENT	GUIDELINES AND QUESTIONS
	TO ASK
ADOPTION	Is the program feasible for majority of real-world settings
Percent and	(costs, expertise, time, resources, etc.)?
representativeness of	Can it be adopted by low resource settings and typical staff
settings and staff that	serving high-risk populations?
participate	
IMPLEMENTATION	Can the program be consistently implemented across
Consistency and cost of	program elements, different staff, time, etc.?
delivering program and	Are the costs—personnel, up front, marginal, scale up,
adaptations made	equipment costs—reasonable to match effectiveness?

# Slide 13: RE-AIM Guidelines for Developing, Selecting, and Evaluating Programs and Policies Intended to Have a Public Health Impact (Cont)

RE-AIM ELEMENT	GUIDELINES AND QUESTIONS	
	TO ASK	
MAINTENANCE	Does the program include principles to enhance long-term	
Long-term effects at	improvements (i.e., follow-up contact, community	
individual and setting levels,	resources, peer support, ongoing feedback)?	
modifications made	Can the settings sustain the program over time without	
	added resources and leadership?	

#### Slide 14: What Evidence is Needed?

## Slide 15: CONSORT diagram

# Slide 16: External Validity Checklist for Researchers (from meeting of 13 journal editors)

- 1. \_\_\_\_ Record recruitment and/or selection procedures, participation rate, and representativeness at each of the following levels:
- a. Individuals, patients, citizens, or clients
- b. Intervention staff, or program delivery agents
- c. Delivery settings, work sites, health care clinics, schools
- 2. \_\_\_\_ Take note of any differences in delivery across:
- a. Settings, populations, and/or staff
- b. Program components
- c. Time, taking special care to note any modifications over time
- 3. \_\_\_\_ Record all impacts of intervention, including:
- a. Quality of life, or unintended adverse consequences
- b. Costs of implementation and/or program replication

- c. Moderator variables, especially those related to health disparities
- 4. \_\_\_\_ When conducting long-term follow-up report, pay attention to:
- a. Long-term effects on item #3 above
- b. Attrition at all levels in #1 above
- c. Institutionalization, modification, or discontinuance of the program

Glasgow, R. E., Green, L. W., and Ammerman, A. (2007). A focus on external validity. *Evaluation & the Health Professions 30(2): 115-117.* 

## **Slide 17: Reporting External Validity Future Directions**

Document reliability of EV coding criteria

Consider *summary metrics*, composite or overall EV quality scores

Report on impact on health equity for all RE-AIM levels

Assistance to practitioners on how to combine with theory and local experience

Evaluate which criteria most strongly related to long-term dissemination success

Revise criteria based on lessons learned

## Slide 18: Assistance to practitioners on how to combine with theory and local experience

NCI has revised the Research-tested Interventions Program (RTIPs) review process and website to incorporate RE-AIM

April 2012 began scoring new RTIPs programs on RE-AIM criteria

October 2012 launched "RE-AIM notes" on all program summary pages <a href="http://rtips.cancer.gov/rtips/index.do">http://rtips.cancer.gov/rtips/index.do</a>

**Slide 19:** [Image] Screen shot of Research Tested Intervention Programs (RTIPs)[End Image]

http://rtips.cancer.gov/rtips/index.do

**Slide 20:** [Image] Screen shot of Research Tested Intervention Programs (RTIPs)[End Image]

http://rtips.cancer.gov/rtips/index.do

**Slide 21:** [Image] Screen shot of Research Tested Intervention Programs (RTIPs)[End Image]

http://rtips.cancer.gov/rtips/index.do

Slide 22: Take Home Points

Presentation at American Evaluation Association Annual Conference - 10-26-12

Failure to focus on external validity is a major contributor to the disconnect between research and practice

Need a broader approach to evaluating interventions that places appropriate focus on dimensions of external validity

Reporting on external validity issues is needed to facilitate moving research into practice

RE-AIM is continuing to evolve and welcomes your input

Slide 23: Resources
www.re-aim.org
http://rtips.cancer.gor/rtips/index.do

Gaglio B, Glasgow RE. Evaluation approaches for dissemination and implementation research. In R Brownson, G Colditz, E Proctor (Eds.). *Dissemination and implementation research in health: Translating science to practice.* New York: Oxford Univ. Press, 2012, pages 327-356.

Kessler RS, Purcell EP, Glasgow RE, Klesges LM, Benkeser RM, Peek CJ. What Does It Mean to ''Employ'' the RE-AIM Model? Eval Health Prof. 2012

Klesges, L.M., Estabrooks, P.A., Glasgow, R.E., Dzewaltowski, D.A. Beginning with the Application in Mind: Designing and Planning Health Behavior Change Interventions to Enhance Dissemination. Ann Behav Med 2005; 29:66-75.

Slide 24: Questions?